



THE STATE
of **ALASKA**
GOVERNOR SEAN PARNELL

**Department of Transportation and
Public Facilities**

OFFICE OF THE COMMISSIONER
Marc A. Luiken

3132 Channel Drive
P.O. Box 112500
Juneau, Alaska 99811-2500
Main: 907.465.3901
Fax: 907.586.8365
dot.state.ak.us

July 18, 2012

Kristine Karlson
NPDES Compliance Officer
U.S. EPA Region 10
1200 6th Avenue, Suite 900 (OCE-133)
Seattle, WA 98101

Dear Ms. Karlson,

The Alaska Department of Transportation and Public Facilities has identified several items that should have been included in the Clean Water Act Consent Decree Annual Report for 2011 when it was submitted on February 29, 2012. Please consider this letter and enclosure as a supplement to that report.

Active Projects Worksheet

Project inadvertently left out

The Seward Maintenance Facility project (DOT&PF NOI Tracking # AKR10DU22) was not included in the 2011 Active Projects Worksheet for Central Region. The project was added to our database when it started in late 2011, but a query of the data did not capture it for inclusion in the Active Project Worksheet found in the annual report.

AK-CESCL recertification numbers inadvertently left out

The Northern Region Active Projects Worksheet did not include the most current information on three individuals whose AK-CESCL certifications were due to expire in 2011. Those individuals renewed their AK-CESCL certification without a lapse, but the renewal information was not reflected in the annual report. Table 1 below shows these individual's past and current certifications.

Table 1. Previous and Current AK-CESCL Certifications.

Name	Region	Certifications		Page # of Annual Report (First Listing)
		Current	Previous	
Galleher, Blaine	Northern	AK-CESCL # DOT-11-218, exp. 3/9/2014	AK-CESCL # 2458, exp. 5/13/2011	Pg. 3 of Northern Region
Groves, Jason	Northern	AK-CESCL # DOT-11-220,	AK-CESCL # 2460,	Pg. 4 of Northern Region

		exp. 3/9/2014	exp. 5/13/2011	
Helton, Jacob	Northern	AK-CESCL # DOT-11-222, exp. 3/9/2014	AK-CESCL # 2464, exp. 5/13/2011	Pg. 7 of Northern Region

Typo in AK-CESCL certification numbers

In the Central Region Active Projects Worksheet, there are six instances where AK-CESCL certification numbers are missing a zero. This was due to data entry errors while transferring the information to a statewide database of AK-CESCL certifications. In all cases, the inspectors recorded the correct numbers on inspection reports. Table 2 provides the correct information for the annual report.

Table 2. Corrected AK-CESCL Certification Numbers

Name	Region	Current Certification	Page # of Annual Report (First Listing)
Knickerbocker, Amy	Central	AK-CESCL #1000215, exp. 5/5/2013	Pg. 2 of Central Region
Platzke, Rodney	Central	AK-CESCL #1000144, exp. 3/31/2013	Pg. 2 of Central Region
Jones, Julie	Central	AK-CESCL #1000107, exp. 3/24/2013	Pg. 2 of Central Region
Davis, Lana	Central	AK-CESCL #1000164, exp. 4/29/2013	Pg. 4 of Central Region
Clayton, Josiah	Central	AK-CESCL #1000202, exp. 5/2/2013	Pg. 8 of Central Region
Boger, Keborah	Central	AK-CESCL #1000128, exp. 3/31/2013	Pg. 12 of Central Region

Non-compliance Worksheet

No expiration date on inspection reports

On two projects in late 2011, certified and qualified inspectors submitted inspection reports that did not list an expiration date for their AK-CESCL-equivalent certification, CISEC. CISEC, Inc. does not provide an expiration date on its certificates.

At the time of the annual report, we identified the inspection report deficiencies, but did not report these as noncompliance. We contacted CISEC, Inc. for clarification on the matter. We now know that CISEC, Inc. also issues a contract that includes an expiration date. However, that expiration date only takes effect if the individual fails to document at least 36 hours of continuing education.

While we understand the confusion this created for CISEC-certified inspectors, we are reporting it as non-compliance since the potential exists that these certifications could expire. The enclosed worksheet lists the projects and dates that this situation occurred. As with many of the reported noncompliances in 2011, this had no effect on activities that protect water quality.

SWPPP not certified by Project Engineer

A project begun in late 2011 included a SWPPP that was not certified by the Project Engineer prior to construction. This noncompliance was recently identified when we also found that the project had not been included in the annual report's Active Projects List. This noncompliance is shown on the enclosed worksheet.

If you have any questions, please contact Kris Benson, Environmental Program Manager, at (907) 465-6326 or kris.benson@alaska.gov.

Sincerely,

Marc A. Luiken,
Commissioner

Sent electronically

Attached files:

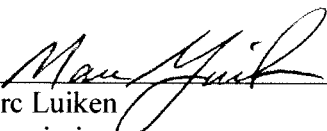
Active Projects 2011 Supplement
Noncompliance 2011 Supplement

cc:

Robert Campbell, P.E., Regional Director, Central Region MS 2525
Albert Clough, CPG, Regional Director, Southeast Region MS 2506
Anmei Goldsmith, Assistant Attorney General, DOL MS-0300
Roger Healy, P.E., Chief Engineer, DES Statewide MS 2500
Joel St. Aubin, P.E., Director, Statewide Facilities MS 2530
James Stephen Titus, P.E., Regional Director, Northern Region MS 2550

CERTIFICATION STATEMENT


I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.



Marc Luiken
Commissioner
Alaska Dept. of Transportation and Public Facilities

7/19/12

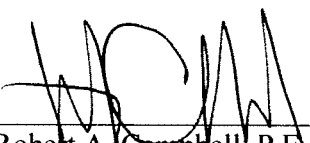
[Date]



Steve Titus, P.E.
Director, Northern Region
Alaska Dept. of Transportation and Public Facilities

7/18/12

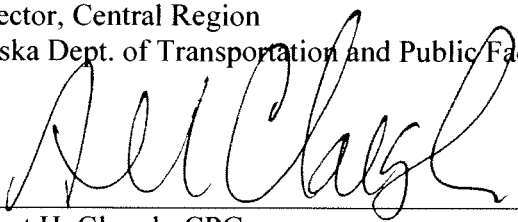
[Date]



Robert A. Campbell, P.E.
Director, Central Region
Alaska Dept. of Transportation and Public Facilities

7.18.12

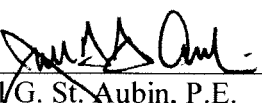
[Date]



Albert H. Clough, CPG
Director, Southeast Region
Alaska Dept. of Transportation and Public Facilities

7.18.2012

[Date]



Joel G. St. Aubin, P.E.
Chief, Statewide Public Facilities
Alaska Dept. of Transportation and Public Facilities

7/17/2012

[Date]